

**FY10-15 AUDIT COVERAGE**

FY10 Coverage	FY11 Coverage	FY12 Coverage
FY09 Cost Allowability (CFO)	FY10 Cost Allowability (CFO)	FY11 Cost Allowability (CFO)
Time & Effort Reporting (CFO)	General Ledger (CFO)	Data Security of Outsourced Applications (IT)
ARRA Compliance (LBNL)	Emergency Preparedness (EHS)	P-Cards (CFO)
Backup and Recovery Planning (IT)	Budget Planning and Formulation (CFO)	Property Accounting (CFO)
Cost Transfers (CFO)	Management of ARRA Projects (LBNL)	Subcontract Proposal Audit
Executive Compensation (DIR)	Assessment of Fraud Risk Management (DIR)	Accounts Payable (CFO)
Subcontract Audit 6508899 (CFO)	Conflict of Interest/Conflict of Commitment	Annual Report on Executive Compensation (DIR)
NIH Agreements (CFO)	Executive Compensation (DIR)	Construction (FAC)
Check Requests (CFO)	Electronic Information Security IS-3 (IT)	Export Controls (DIR)
HSS CAP Verification (EHS)	Subcontract Audit 6811836 (CFO)	Meals (CFO)
	eSRA System Implementation (CFO)	After-Hours Taxi Service (FAC)
	HSS CAP Verification (EHS)	Business Process Review (NERSC)
	JGI Business Process Review (JGI)	
	Small Construction Projects (FAC) <i>Deferred</i>	

FY13 Coverage	FY14 Coverage	FY15 Coverage
FY12 Cost Allowability (CFO)	FY13 Cost Allowability (CFO)	FY14 Cost Allowability (CFO)
Small Construction Projects (FAC)	HR Services (HR)	Conference Activities (CFO)
IT Governance (IT)	PO & Subcont. Awards and Compliance (CFO)	F\$M Project Post-Implementation (CFO)
Billings and Receivables (CFO)	IUTs Awards (CFO)	Capital Planning, Budgeting (CFO)
Annual Emp. Performance Eval Process (HR)	Payroll Processing (CFO)	Disaster Recovery/Business Continuity (IT)
Receiving and Shipping (FAC)	Emergency Management (EHS)	OMB Circular A-123 (CFO, IT)
Guest House (CFO)	Maintenance (FAC)	Excess Property Inventory and Sales (FAC)
Joint Ventures/Partnerships/Affiliations/MOU	Conference Activities (CFO)	Home Office Costs (LMO)
CY12 Executive Compensation (DIR)	CY13 Executive Compensation (DIR)	CY14 Executive Compensation (DIR)
Science Project Management	Admin of Employee Performance Eval (HR)	Employee Performance Management (HR)
SMG Travel & Entertainment	Post-Doc Classification & Comp. (HR/CFO)	Compensated Outside Professional Activities
eBuy Pricing (CFO)	F\$M Pre-Implementation Review (CFO)	
EH&S CAP (EHSS)	Gifts	
	OMB Circular A-123 (CFO, IT)	