

FY08-13 COVERAGE

Attachment 4

FY08 Coverage	FY09 Coverage	FY10 Coverage
FY07 Cost Allowability (CFO)	FY08 Cost Allowability (CFO)	FY09 Cost Allowability (CFO)
Executive Compensation (DIR)	Executive Compensation (DIR)	Time & Effort Reporting (CFO)
Major Construction (FAC)	Disclosure Statement (CFO)	ARRA Compliance (LBNL)
E-Buy (CFO)	Use of Consultants (CFO)	Backup and Recovery Planning (IT)
Technology Transfer (DIR)	Director's Admin Fund (DIR)	Cost Transfers (CFO)
Indirect Expense Rates (CFO)	Interim Lab Director's Immediate Office (DIR)	Executive Compensation (DIR)
Data Center Operations (IT)	Meals & Conference Services (CFO)	Subcontract Audit 6508899 (CFO)
Maintenance (FAC)	Signature Authorization (CFO)	NIH Agreements (CFO)
Employee Benefits Eligibility (HR)	Equipment Leases (FAC)	Check Requests (CFO)
I-9 Process Review (HR)	MSD Business Process Review (MSD)	HSS CAP Verification (EHS)
	PBD Business Process Review (PBD)	
	JBEI Business Process Review (JBEI)	
	ARRA Controls (LBNL)	
	OMB A-123 (CFO)	
	HSS Advisory (EHS)	

FY11 Coverage	FY12 Coverage	FY13 Coverage
FY10 Cost Allowability (CFO)	FY11 Cost Allowability (CFO)	FY12 Cost Allowability (CFO)
General Ledger (CFO)	Data Security of Outsourced Applications (IT)	Small Construction Projects (FAC)
Emergency Preparedness (EHS)	P-Cards (CFO)	IT Governance (IT)
Budget Planning and Formulation (CFO)	Property Accounting (CFO)	Billings and Receivables (CFO)
Management of ARRA Projects (LBNL)	Subcontract Proposal Audit	Annual Emp. Performance Eval Process (HR)
Assessment of Fraud Risk Management (DIR)	Accounts Payable (CFO)	Receiving and Shipping (FAC)
Conflict of Interest/Conflict of Commitment (LBNL)	Annual Report on Executive Compensation (DIR)	Guest House (CFO)
Executive Compensation (DIR)	Construction (FAC)	Joint Ventures/Partnerships/Affiliations/MOU
Electronic Information Security IS-3 (IT)	Export Controls (DIR)	CY12 Executive Compensation (DIR)
Subcontract Audit 6811836 (CFO)	Meals (CFO)	Science Project Management
eSRA System Implementation (CFO)	After-Hours Taxi Service (FAC)	Entity Controls
HSS CAP Verification (EHS)	Business Process Review (JGI)	eBuy Pricing (CFO)
JGI Business Process Review (JGI)		EH&S CAP (EHSS)
Small Construction Projects (FAC) <i>Deferred</i>		